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Alicia C. McCarthy is Counsel in the firm's Executive Compensation and Benefits Department where she focuses on executive compensation and employee benefit matters involving mergers and acquisitions, spin-offs, joint ventures, bankruptcy reorganizations, financings, litigation as well as plan governance and compliance. She advises clients with respect to employment, severance, retention, incentive and equity-based compensation arrangements. She also has a broad background in ERISA's fiduciary rules and the investment of plan assets with extensive experience representing fund sponsors and ERISA investors.

Her practice includes matters before the Department of Labor and Pension Benefit Guaranty Corporation.

Ms. McCarthy is a frequent speaker on compensation and ERISA topics for the Practicing Law Institute where she co-chairs the PLI Program – ERISA Fiduciary Basics. Ms. McCarthy previously served as chair of the Committee of Employee Benefits and Executive Compensation of the Association of the Bar of the City of New York. She is the recipient of the Pro Bono Publico Award (2010, 2011 and 2014) for her services to The Legal Aid Society. She is also recognized in *Best Lawyers of America* (2012-2022) in Employee Benefits (ERISA) law and *New York Super Lawyers* (2013-2021).

Ms. McCarthy received her B.A. in 1981 from Georgetown University and her J.D. *cum laude* in 1984 from Brooklyn Law School, where she was a member of the Journal of International Law.

## **Recent Publications**

<u>DOL Proposes New Rules Regulating ESG Investments</u>, in Harvard Law School Forum on Corporate Governance, July 7, 2020.

An ESG Setback? DOL Sounds Cautionary Tone on ESG-Related Proxy Voting, Shareholder Engagement, and Economically Targeted Investments, in Harvard Law School Forum on Corporate Governance and Financial Regulation, May 2, 2018.

Sun Capital Redux: Non-Parallel Private Equity Funds Found Liable For Pension Liabilities of Their Common Portfolio Company, in Harvard Law School Forum on Corporate Governance and Financial Regulation, April 5, 2016.